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Request for Grant of a Patent



(Rule 16)

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**NEWPORT NP9 1RH** P451264 1. Your reference 113 JUN 2002 0213504.4 2. Patent Application number (the Patent Office will fill in this part) Enfis Limited, Full name, address and postcode of the or 3. Technium, Kings Road, of each Applicant (underline all surnames) The Docks, Swansea SA1 8PH, United Kingdom. Patent Office ADP Number (if you know it) If the applicant is a corporate body, give 81548900 **England and Wales** the country/state of its incorporation **Optoelectronic Devices** Title of the Invention 4. **URQUHART-DYKES & LORD** Name of your Agent (if you have one) 5. Alexandra House "Address for Service" in the United Kingdom to 1 Alexandra Road which all correspondence should be sent SWANSEA SA1 5ED (including the postcode) **United Kingdom** 1644005 Patents ADP Number (if you know it) Date of Filing Priority application No. If you are declaring priority from one or more Country 6. (Day/month/year) (if you know it) earlier Patent Applications, give the country and the date of filing of the or of each of these earlier Applications and (if. you know it) the or each application number Date of Filing If this Application is divided or otherwise derived Number of earlier application 7. (Day/month/year) from an earlier UK Application, give the Number and the Filing Date of the earlier Application Is a Statement of Inventorship and of Right to 8. Yes Grant of a Patent required in support of this request? (Answer 'Yes' if:

a) any Applicant named in part 3 is not an inventor, or
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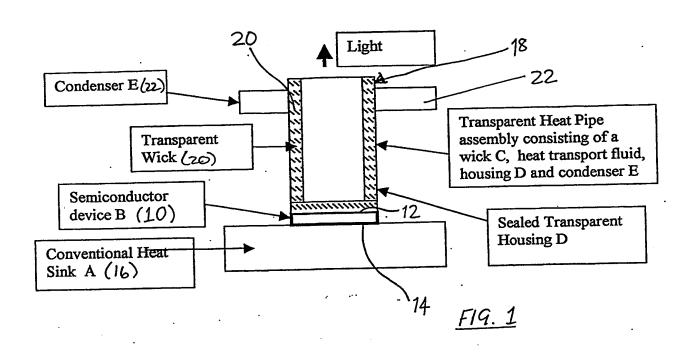
c) any named Applicant is a corporate body.)

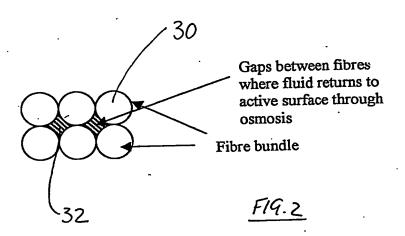
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	Description	*6
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	Priority documents	0
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	Statement of Inventorship and Right to Grant a Patent (Patents Form 7/77)	<b>0</b>
	Request for Preliminary Examination (Patents Form 9/77)	.1/
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	Any other documents (please specify)	0
11.		I/We request the grant of a Patent on the basis of this Application
	l lightan	Signature Dete  ART DYKES & LORD 12 June 2002
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# **Optoelectronic Devices**

This invention relates to optoelectronic devices, such as light emitting diodes and other semiconductor light sources.

There are several known types of semiconductor light sources. For example, a p-n junction diode, when forward biassed, can be made to emit visible light by application of an energy source, and is known as a light emitting diode or LED. The radiation has a broad spectrum and is spontaneous and non-coherent, and is due to the recombination of electrons and holes which occur when conduction band electrons are captured by valence band holes.

The production of light in semiconductor devices, such as an LED, usually requires an efficient optical system to provide for maximum extraction of light from the active region within the semiconductor, and it is well known to provide, for example, an optical coupling system consisting of a bundle of optical fibres, one end of which is placed in close proximity to the active region or light emitting surface of the device to extract light therefrom.

The efficiency and operation of such semiconductor light sources is adversely affected by an increase in temperature, i.e. it is desirable to maintain the temperature rise of the active device below a critical temperature. However, the application of an electrical energy source to the active device leads to an inevitable rise in temperature of that device. In other words, the physical process of light production is accompanied by the electrical energy which is dissipated as heat, and this heat must be removed effectively in order to avoid the device overheating which would result the efficiency of the light generation process being degraded and possibly the reduction of the operating lifetime of the device due to some heat-related failure mechanism.

It is well known to mount the active device on a heat sink formed of a highly thermally conductive material, such that heat flows down from the active device into the heat sink, thereby drawing unwanted heat away from the active device to cool it and maintain its temperature within design limits.

However, there are two primary disadvantages associated with this method of heat removal, which limit the amount of heat that may be safely removed from the active device. Firstly, the thermal resistance of the overall arrangement is relatively high because heat is required to flow from the active region of the device (which is generally provided at the upper surface of the device, through the substrate on which the active region is formed to the heat sink, the thermal conductivity of the substrate material generally being substantially lower than that of the heat sink material, which is usually copper. Secondly, the transient response is low (i.e. there is a considerable delay between the heat being generated and that heat being drawn away from the device). This low transient response is not only due to the above-mentioned relatively high thermal resistance, but also due to the high thermal capacities of the substrate and the heat sink in combination.

A cooling mechanism that has, in recent years, been introduced to the field of cooling semiconductor devices is the heat pipe. In a heat pipe heat may be applied at a localised area, or evaporator, where the working fluid in the chamber is vaporised absorbing the latent heat of vaporisation. The vapour then flows due to a small pressure gradient, to the opposite side where it condenses and gives up the latent heat of vaporisation. A wick structure along the wall of the heat pipe provides capillary pumping for the liquid to return to the evaporator region thus completing the cycle. This phase change process will cause the condenser side to be nearly isothermal while spreading the energy from the heat source uniformly over the base of the heat sink. Heat pipes have superior heat transfer characteristics compared to more conventional heat removal arrangements, and have been found to be an excellent means to remove unwanted heat from semiconductor devices generally. However, known heat pipes are obviously not suitable for use on the light output side of semiconductor light sources, because they are opaque and would block the light output.

Thus, there are two primary considerations in respect of semiconductor light sources, that of efficient light extraction and that of effective heat removal from (or cooling of) the active device.

We have now devised an arrangement which overcomes the problems outlined above and achieves the object of the invention which is to provide a semiconductor light source in which efficient light extraction and effective heat removal is achieved. Thus in accordance with the present invention, there is provided a heat pipe for use in extracting heat from a semiconductor light source having an active region, the heat pipe comprising a transparent or translucent member of thermally conductive material and defining an optical transmission path therethrough, the heat pipe being adapted to be located proximate to the active region of the semiconductor device to extract heat, when in use.

The present invention extends to a semiconductor light source including an active region and having a heat pipe as defined above located proximate to said active region.

Thus, the heat pipe of the present invention is made of a transparent or translucent material, and has a refractive index or refractive index combination which facilitates the passage of light from the active region where it is generated.

The heat pipe of the present invention has a number of advantages. Firstly, the effective thermal conductivity of a heat pipe is very large and significantly greater than that of a copper (or similar) heat sink, such that temperature rises are substantially lower than in conventional semiconductor light sources. Secondly, the heat generated in the active region of the device can be removed directly from the surface that is emitting light, in addition (or as an alternative to) heat removal through the substrate. Thirdly, the transient response of the overall system including the heat pipe of the present invention is substantially improved because the heat transport function is dependent on the rate of vapour movement and not on the rate at which heat flows through the substrate and heat sink combination of the prior art. Finally, the heat pipe of the present invention has the significant advantage of permitting the passage of light therethrough such that it is suitable for use with a semiconductor light source.

The optical transmission path is preferably provided by means of a channel which runs through the heat pipe. In one preferred embodiment of the invention, the channel is arranged to receive optical transmission means.

The transparent or translucent member preferably comprises a hollow pipe (which can be any closed shape, not necessarily cylindrical) with sealed ends and is made of any suitable thermally conductive transparent or translucent material having the required mechanical strength for the application. A heat pipe is generally at least partially filled with a cooling fluid, such as water, deionised water, or any other suitable working fluid (which may be placed under a partial vacuum so as to lower the boiling point of the liquid). In use, the water in the end of the heat pipe which is closest to the active region is heated by the heat produced by the active region during operation of the device, until it is vaporised, at which point it rises to the cool side of the heat pipe (thus the heat is transported away from the active region as latent heat within the vapour), where it condenses and returns to the hot end of the heat pipe. The condensed liquid may be carried back to the hot end of the heat pipe by gravity. Alternatively or in addition, a wick or similar material which transports liquid by capillary action may be provided, in which case the condensed liquid is carried back to the hot end of the heat pipe by capillary forces in the wick.

In one preferred embodiment of the invention, the heat pipe defines a channel therethrough, in which is disposed a bundle of optical fibres or the like, said optical fibres being substantially circular in cross-section, the gaps between said optical fibres defining capillary channels by means of which heated coolant fluid (whether liquid or vaporised) can be transported towards the cool end of the heat pipe, and by means of which the condensed liquid can be transported from the cool end of the heat pipe back to the hot end (closest to the active region of the device). Thus, in one embodiment of the invention, a bundle of optical fibres placed in close proximity to the light emitting surface of a semiconductor light source would not only act as a light guide but, if made part of the heat pipe system, would allow (or at least aid) swift and effective heat removal when the coolant fluid (which is beneficially transparent) contained in the heat pipe is vaporised, and also return of the condensed fluid back to the cool end of the heat pipe. In another embodiment, a conventional wick structure of transparent material could be used.

An embodiment of the present invention will now be described by way of example only and with reference to the accompanying drawings, in which:

Figure 1 is a schematic diagram of a semiconductor light source including a heat pipe in accordance with the present invention;

Figure 2 is a schematic diagram illustrating the capillary channels created between the fibres of a bundle of optical fibres for use in an exemplary embodiment of the present invention;

Figure 3a is a schematic cross-sectional view of a heat pipe according to a first exemplary embodiment of the invention; and

Figure 3b is a schematic cross-sectional view of a heat pipe according to a second exemplary embodiment of the invention.

Referring to Figure 1 of the drawings, a semiconductor light source according to the invention comprises a light emitting semiconductor device 10 having an upper surface 12 that emits light and a lower surface 14. The device 10 is mounted (at its lower surface 14) on a heat sink 16, made of, for example, copper or aluminium. Located on the upper surface 12 of the device 10 is a heat pipe 18 comprising a sealed member of transparent or translucent material having a wick 20 disposed down the sides and along the bottom thereof. The wick 20 may be of any suitable material capable of transporting liquid along it by means of a capillary action.

The heat pipe 18 is partially filled with a liquid (preferably transparent, such as water or deionised water or the like).

In use, light can be extracted from the active region of the device 10 via the heat pipe 18, which is transparent (or at least translucent) in nature. At the same time, heat generated by the active region (because of the continuous or intermittent electrical energy applied thereto) is transmitted to the heat pipe 18 (via the upper surface 12 of the device 10), which heats the liquid in the heat pipe 18. The liquid is vaporised and rises toward the top of the heat pipe 18 (which is relatively cool), where it condenses and returns by means of gravity (and the wick 20) to the lower (hot) end of the heat pipe 18. In one embodiment of the present invention, a condenser 22 is provided at the upper (cool) end of the heat pipe 18 to speed up the process of condensing the coolant fluid within the heat pipe 18. However, in another embodiment, heat may simply be removed by convection from the surface of the heat pipe.

Thus the heat pipe of the present invention provides an optical coupling system which also removes heat directly from the surface of the device close to the active region using a transparent heat pipe.

In a preferred embodiment of the present invention, and referring to Figure 2 of the drawings, a bundle of optical fibres 30 are provided within the heat pipe 18. The optical fibres obviously provide a more efficient optical transmission means for extracting the light generated by the active region of the device 10. However, in addition to this, the gaps 32 created between the optical fibres 30 in the bundle provide an efficient capillary action within the heat pipe 18 for transport of vapour to the cool end of the pipe 18 and (more importantly) for transport of condensed coolant to the hot end of the pipe 18. It is well known that a geometry which provides efficient capillary action consists of one or more holes with sharp corners (the more acute the angles, the greater the capillary action), which is achieved naturally in the interstices between the substantially circular optical fibres 30, as shown in Figure 2 of the drawings. It will be appreciated that the capillary forces acting in the gaps 32 will be relatively strong, provided that the dimensions are suitably small. The optical fibres 30 may be provided so as to substantially fill the channel defined by the pipe 18, in which case cooling fluid may have to flow in both directions along the gaps 32 provided between the fibres. Alternatively, however, the fibres 30 may only partially fill the channel (they may, for example, be provided around the inner periphery of the pipe 18, in which case a large gap is left through which vaporised cooling fluid can be rapidly and effectively transported away from the light emitting surface.

Embodiments of the present invention have been described above by way of examples only, and it will be apparent to a person skilled in the art that modifications and variations can be made to the described embodiments, without departing from the scope of the invention as defined by the appended claims.

## **CLAIMS:**

- 1. A heat pipe for use in extracting heat from a semiconductor light source having an active region, the heat pipe comprising a transparent or translucent member of thermally conductive material and defining an optical transmission path therethrough, the heat pipe being adapted to be located proximate to the active region of the semiconductor device to extract heat, when in use.
- 2. A heat pipe according to claim 1 wherein said optical transmission path is provided by means of a channel which runs through the heat pipe.
- 3. A heat pipe according to claim 2, wherein said channel is arranged to receive optical transmission means.
- 4. A heat pipe according to any one of the preceding claims, wherein the transparent or translucent member comprises a hollow pipe with sealed ends.
- 5. A heat pipe according to any one of the preceding claims, at least partially filled with a cooling fluid, such as water deionised water (or any other suitable working fluid).
- 6. A heat pipe according to claim 5, wherein said cooling fluid is placed under a partial vacuum.
- 7. A heat pipe according to any one of the preceding claims, which transports liquid by capillary action.
- 8. A heat pipe according to claim 2 or claim 3, wherein a bundle of optical fibres or the like is disposed in said channel.

- 9. A heat pipe according to claim 8, wherein said optical fibres are substantially circular in cross-section, the gaps between said optical fibres defining capillary channels by means of which heated coolant fluid (whether liquid or vaporised) can be transported towards the cool end of the heat pipe, and by means of which condensed liquid can be transported from the cool end of the heat pipe back to the hot end (closest to the active region of the device).
- 10. A heat pipe according to claim 9, wherein said optical fibres are located around the periphery of the heat pipe such that a channel is defined through the centre of the pipe, by means of which coolant fluid (whether liquid or vaporised) can flow.
- 11. A semiconductor light source including a heat pipe according to any one of the preceding claims.
- 12. A semiconductor light source according to claim 10, including a condenser.
- 13. A heat pipe substantially as herein described with reference to the accompanying drawings.
- 14. A semiconductor light source substantially as herein described with reference to the accompanying drawings.

### ABSTRACT

# Optoelectronic Devices

A light emitting semiconductor device (10) mounted on a heat sink (16) and having a heat pipe (18) located on its upper surface (12). The heat pipe (18) comprises a sealed cylindrical member of transparent or translucent material having a wick (20) disposed down the side and along the bottom thereof. The heat (18) is partially filled with a liquid which is placed under a partial vacuum to reduce its boiling point. A bundle of optical fibres (Figure 2-30) may be provided within the heat pipe (18), with the gaps (Figure 2-32) created between the optical fibres (Figure 2-30) providing an efficient capillary action within the heat pipe (18) for transport of vapour to the cool end of the pipe (18) and for transport of condensed coolant to the hot end of the pipe (18).